

**Topic: Listing Chp – 13 to 16**

**Total Marks - 60**

**Time allowed – 1.5 hours**

**Q1.A.** Differentiate between BDL market and Euro MTF market.

**Q1.B.** What is the duty of Compliance officer under Regulation 6 of the SEBI Listing Regulations 2015?

**Q1.C.** Enumerate the material contracts and documents required for inspection.

**Q1.D.** What are the Documents required for listing approval for Bonus equity shares issued by the Companies?

**(5 Marks each x 4 = 20 Marks)**

**Q2.A** Explain the various quarterly compliances required to be complied with, by a listed entity which has listed its equity shares on a recognised stock exchange under the SEBI Listing Regulations 2015.

**Q2.B.** Explain Due Diligence – Regulatory framework and scope of due diligence.

**Q2.C.** What are the documents to be submitted on T+2 days (i.e. within 2 working days from the closure of the issue) for listing of IPO?

**Q2.D.** Explain the benefits of Listing on International Stock Exchanges.

**(5 Marks each x 4 = 20 Marks)**

**Q3.A.** Explain Regulation 31 under listing regulations.

**Q3.B.** . Is it mandatory for a listed entity to formulate dividend distribution policy? Describe the provisions relating to dividend distribution policy.

**Q3.C** Enumerate the various segments of Main market of London Stock Exchange.

**Q3.D** Write down the checklist of documents for Basis of allotment- post issue formalities in case of a right issue.

**(5 Marks each x 4 = 20 Marks)**